

THE LAW OFFICES OF  
**ANDREW D. FORTNEY, PH.D., P.C.**  
 401 W. FALLBROOK AVENUE, SUITE 204  
 FRESNO, CALIFORNIA 93711  
[www.FORTNEYLAW.COM](http://www.FORTNEYLAW.COM)  
 TELEPHONE: (559) 432-6847  
 FAX: (559) 432-6872  
 EMAIL: [INFO@FORTNEYLAW.COM](mailto:INFO@FORTNEYLAW.COM)

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ANDREW D. FORTNEY, PH.D.

ALEC B. PLUMB\*

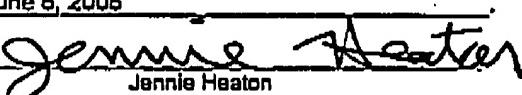
\*REGISTERED PATENT AGENT

ATTN: **MAIL STOP MISSING PARTS**COMPANY: **COMMISSIONER FOR PATENTS / P.O. Box 1450, ALEXANDRIA VA 22313-1450**FAX: **1 (571) 273-8300**DATE: **06-06-2006** TIME: **12:55 PM**

WITH CONFIRMATION  
 WITHOUT CONFIRMATION

RE: **APPLICATION No. 10/789,317**FILED: **02-27-2004**

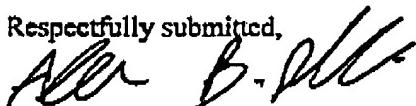
I hereby certify that this document and all documents listed below are being transmitted via facsimile to  
 Commissioner for Patents, fax no. (571) 273-8300, on June 6, 2006.

By:   
 Jennie Heaton

**SUBMITTED HEREWITH FOR THE ABOVE-CAPTIONED APPLICATION:**

- Transmittal Form
- Request for Corrected Filing Receipt
- Copy of Filing Receipt Indicating Requested Correction (2 Pgs.)
- Copy of Declaration as filed on February 27, 2004 (2 Pgs.)
- Copy of Specification cover page as filed on February 27, 2004

Respectfully submitted,

  
 Alec B. Plumb; Reg. No. 56,433

PLEASE DIRECT ANY QUESTIONS REGARDING THE TRANSMISSION OF THIS FAX TO:  
 (559) 432-6847 / FAX (559) 432-6872 / [INFO@FORTNEYLAW.COM](mailto:INFO@FORTNEYLAW.COM)

THIS FAX CONTAINS 8 PAGE(S) INCLUDING THIS ONE.

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PTO/SB/21 (08-04)

Approved for use through 07/31/2006. OMB 0651-0031  
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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

8

Application Number

10/789,317

Filing Date

02-27-2004

First Named Inventor

Klaus KUNZE et al.

Art Unit

2811

Examiner Name

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Attorney Docket Number

IDR0020

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Fax Transmission Cover Page - Request for Corrected Filing Receipt - Filing Receipt Indicating Requested Correction - Declaration as Filed on February 27, 2004 - Specification Cover Page as Filed
<input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavit(s)/Declaration(s)	<input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address	
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		<b>Remarks</b>
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>		
Firm Name	The Law Offices of Andrew D. Fortney, Ph.D., P.C.	
Signature		
Printed name	Alec B. Plumb	
Date	06-06-2006	Reg. No. 56,433

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Jennie Heaton

Date

06-06-2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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THE LAW OFFICES OF  
**ANDREW D. FORTNEY, PH.D., P.C.**  
 401 W. FULLER AVENUE, SUITE 204  
 FRESNO, CALIFORNIA 93711

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JUN 06 2006

ALEC B. PLUMB\*

\*REGISTERED PATENT AGENT

June 6, 2006

Application Number:	10/789,317
Filing Date:	February 27, 2004
First Named Inventor:	KUNZE, Klaus
Attorney Docket No.:	IDR0020
For: SILANE COMPOSITIONS, METHODS OF MAKING THE SAME, METHOD FOR FORMING A SEMICONDUCTING AND/OR SILICON-CONTAINING FILM, AND THIN FILM STRUCTURES FORMED THEREFROM	

Mail Stop Missing Parts  
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 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 FAX: (571) 273-8300

#### REQUEST FOR CORRECTED FILING RECEIPT

To Whom It May Concern:

Applicant's undersigned representative respectfully requests correction of the Title, and issuance of a Corrected Filing Receipt, in the above identified application. The correct Title is **SILANE COMPOSITIONS, METHODS OF MAKING THE SAME, METHOD FOR FORMING A SEMICONDUCTING AND/OR SILICON-CONTAINING FILM, AND THIN FILM STRUCTURES FORMED THEREFROM**, as indicated by the Title on page 1 of the attached Declaration and Power of Attorney form and by the attached cover sheet of the Specification as filed on February 27, 2004. The requested change is noted on the attached copy of the Filing Receipt mailed May 18, 2004.

Sincerely,

Andrew D. Fortney, Ph.D., Reg. No. 34,600

Alec B. Plumb, Reg. No. 56,433

THE LAW OFFICES OF ANDREW D. FORTNEY, PH.D., P.C.

ADF: gmm

Page 1 of 2



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (C) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/789,317	02/27/2004	2811	862	IDR0020		73	3

## CONFIRMATION NO. 9221

36872  
 THE LAW OFFICES OF ANDREW D. FORTNEY, PH.D., P.C.  
 7257 N. MAPLE AVENUE  
 BLDG. D, 3107  
 FRESNO, CA 93720

## FILING RECEIPT



\*OC000000012702316\*

Date Mailed: 05/18/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Klaus Kunze, Half Moon Bay, CA;  
 Wenzhuo Guo, Cupertino, CA;  
 Fabio Zurcher, Brisbane, CA;  
 Mao Takashima, Cupertino, CA;  
 Laila Francisco, El Dorado Hills, CA;  
 Joerg Rockenberger, Redwood City, CA;  
 Brent Ridley, San Carlos, CA;

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MAY 21 2004

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## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted: 05/18/2004

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Page 2 of 2

**Title**

Silane compositions, methods of making the same, method for forming a semiconducting and/or silicon-containing film, and thin film structures formed therefrom

**Preliminary Class**

267

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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JUN-06-2006(TUE) 13:01

A. FORTNEY LAW OFFICE

(FAX)5594326872

P. 006/008

FEB. 27 2004 16:02 4087383361

Kovic

#5581 P.001/004

Attorney Docket No. IDR0020

**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Silane Compositions, Methods of Making the Same, Method for Forming a Semiconducting and/or Silicon-Containing Film, and Thin Film Structures Formed Therefrom**

as described and claimed in the specification which

- is attached hereto.
- was filed on \_\_\_\_\_ as Express Mail No. \_\_\_\_\_ as Serial No. \_\_\_\_\_  
not yet known.
- was set forth in PCT International Application No. \_\_\_\_\_ which was filed  
on \_\_\_\_\_ and as attended under PCT Article 19 on \_\_\_\_\_ (if any).

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the U. S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 35, Code of Federal Regulations, Section 1.56.

- In compliance with this duty, there is attached an Information Disclosure Statement, 37 CFR 1.97.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(a) of any foreign application(s) for patent or inventor's certificate or §365(a) of any PCT International application(s) which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International application, having a filing date before that of the application on which priority is claimed.

- No such Applications have been filed.
- Such Applications have been filed as follows:

<u>Prior Foreign Application(s)</u>	<u>Priority Claimed</u>	<u>Yes</u>	<u>No</u>
<u>Application Number</u>	<u>Country</u>	<u>Day/Month/Year Filed</u>	
			<input type="checkbox"/> <input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

- No such Applications have been filed.
- Such Applications have been filed as follows:

<u>Provisional Application(s)</u>	<u>Priority Claimed Under 35 USC 119(e)</u>
<u>Application Number</u>	<u>Day/Month/Year Filed</u>

Customer No. 36872

Page 1 of 4

JUN-06-2006(TUE) 13:01

A. FORTNEY LAW OFFICE

..27'2006 16:04 4087383381

Kevic

(FAX)5594326872

P. 007/008

#5582 P.001/008

Attorney Docket No. IDR0020

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

- No such Applications have been filed.  
 Such Applications have been filed as follows:

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Status (Patented, Pending, Abandoned)</u>
-------------------------------	--------------------	--

I hereby appoint practitioner at Customer No. 36872:

Andrew D. Fortney, Ph.D. (Registration No. 34,600)

as my attorney(s) and/or agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith. Send all correspondence to:

Customer No. 36872  
Andrew D. Fortney, Ph.D.  
The Law Offices of Andrew D. Fortney, Ph.D., P.C.  
7257 N. Maple Avenue, Bldg. D, No. 107  
Fresno, CA 93720  
Telephone Number (559) 299-0128  
Facsimile (559) 299-0118

I hereby declare that I have reviewed and understand the contents of this Declaration, and that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 101 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/First Inventor:	
Klaus KUNZE	
Inventor's Signature:	Date: Month/Day/Year
	02/26/04
Residence:	
453 Potter Ave., Half Moon Bay, CA 94019	
Citizenship:	
Germany	
Post Office Address:	
453 Potter Ave., Half Moon Bay, CA 94019	

Customer No. 36872

Page 2 of 4

## UNITED STATES PATENT APPLICATION FOR

SILANE COMPOSITIONS, METHODS OF MAKING THE SAME, METHOD FOR  
FORMING A SEMICONDUCTING AND/OR SILICON-CONTAINING FILM, AND  
THIN FILM STRUCTURES FORMED THEREFROM

## Inventors:

Klaus Kunze

Wenzhuo Guo

Fabio Zurcher

Mao Ito

Laila Francisco

Joerg Rockenberger

Brent Ridley

Docket No.: IDR0020Customer No.: 36872Express Mail No.: EU881622588US